

Substitut for Form 1449A/PTO (Modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

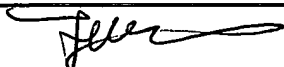
Application Number	09/900,423
Filing Date	July 6, 2001
First Named Inventor:	John E. Sell
Group Art Unit	2828
Examiner Name	Vy, Hung T.
Attorney Docket Number	42.P14874

of 3

## U.S. PATENT DOCUMENTS

Examiner's Initial <sup>1</sup>	U.S. Patent Document No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code <sup>2</sup>	Kind (If known)			
HV	1	4,410,992		Javan	10/18/1993	
	2	4,460,977		Shimada et al.	07/17/1984	
	3	5,124,993		Braunlich et al.	06/23/1992	
	4	5,163,063		Yoshikawa et al.	11/10/1992	
	5	5,414,280		Girmay	05/09/1995	
	6	5,418,800		Prior et al.	05/23/1995	
	7	5,428,700		Hall	06/27/1995	
	8	5,444,724		Goto	08/22/1995	
	9	5,673,129		Mizrahi	09/30/1997	
	10	5,751,750		Friede et al.	05/12/1998	
	11	5,777,773		Epworth et al.	07/07/1998	
	12	5,848,092		Mitsumoto et al.	12/08/1998	
	13	5,872,881		Rossi et al.	02/16/1999	
	14	5,943,352		Fee	08/24/1999	
	15	6,018,535		Maeda	01/25/2000	
	16	6,034,799		Hansen	03/07/2000	
	17	6,040,950		Broome	03/21/2000	
	18	6,044,095		Asano et al.	03/28/2000	
	19	6,064,501		Roberts et al.	03/16/2000	
	20	6,081,539		Mattori et al.	06/27/2000	
	21	6,115,121		Erskine	09/05/2000	
	22	6,181,717	B1	Kner et al.	01/30/2001	
	23	6,205,159	B1	Sesko et al.	03/20/2001	
	24	6,215,802	B1	Lunt	04/10/2001	
	25	6,229,835	B1	Tomaru et al.	05/08/2001	
	26	6,249,365	B1	Mizrahi et al.	06/19/2001	
	27	6,259,712	B1	DeCain et al.	07/10/2001	
	28	6,301,280	B1	Broutin et al.	10/09/2001	
	29	6,304,586	B1	Pease et al.	10/16/2001	
HV	30	6,337,660	B1	Esman et al.	01/08/2002	

 Examiner  
Signature



Date Considered

02/12/02

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number known	Kind Code <sup>2</sup> (If known)			
HU	31	6,366,689	B1	Rao et al.	04/02/2002	
	32	6,404,538	B1	Chen et al.	06/11/2002	
AK	33	6,441,933	B1	Jang	08/27/2002	
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	35	3,788,743		George	01/29/1974	
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	37	3,921,099		Abrams et al.	11/18/1975	
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	39	4,730,105		Mitschke et al.	03/08/1988	
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	43	5,412,676		Schnier et al.	05/02/1995	
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	46	6,263,004	B1	Arvidsson et al.	07/17/2001	
HU	47	6,470,036	B1	Bailey et al.	10/22/2002	

FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>o</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
HV	48	WO	98/05105		ROYCHOUDHURI	02/05/1998		
	49	WO	00/49689		ZORABEDIAN	08/24/2000		
	50	WO	01/04999	A1	CYOPTICS LTD.	01/18/2001		
	51	WO	02/078137	A1	NEW FOCUS, INC.	10/03/2002		
	52	WO	03/005500	A2	NEW FOCUS, INC.	01/16/2003		
	53	WO	03/005501	A2	NEW FOCUS, INC.	01/16/2003		
	54	WO	03/005512	A2	NEW FOCUS, INC.	01/16/2003		

Examiner Signature		Date Considered	01/12/04
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